## SEC Form 5

# FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Form 3 Holdings Reported. 

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

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Form 4 Transacti	ons Reported.	Filed	d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940										
1. Name and Address of Reporting Person <sup>*</sup> HATHORN SAMUEL C			2. Issuer Name and Ticker or Trading Symbol <u>NATIONAL BEVERAGE CORP</u> [ FIZZ ]		tionship of Reporting Perso all applicable) Director	10% Owner							
(Last) 8100 SW 10TH 3	(First) STREET	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 05/01/2021		Officer (give title below)	Other (specify below)							
SUITE 4000			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filing	(Check Applicable							
(Street)				X	Form filed by One Repor	rting Person							
PLANTATION	FL	33324			Form filed by More than Person	One Reporting							
(City)	(State)	(Zip)											

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Act Of (D) (Instr. 3, 4		) or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)		
COMMON STOCK	10/06/2020		G	4,000(2)	D	<b>\$37.11</b> <sup>(2)</sup>	58,766	D	
COMMON STOCK	12/08/2020		<b>G</b> <sup>(1)</sup>	6,000 <sup>(2)</sup>	D	\$46.59 <sup>(2)</sup>	58,766	D	
COMMON STOCK	12/23/2020		G	<b>30,000</b> <sup>(2)</sup>	D	\$43.24 <sup>(2)</sup>	58,766	D	
COMMON STOCK	12/23/2020		G	30,000 <sup>(2)</sup>	D	\$43.24 <sup>(2)</sup>	58,766	D	
COMMON STOCK	12/29/2020		<b>G</b> <sup>(1)</sup>	31,000 <sup>(2)</sup>	D	\$43.1 <sup>(2)</sup>	58,766	D	
COMMON STOCK	01/26/2021		<b>G</b> <sup>(1)</sup>	2,500 <sup>(2)</sup>	D	\$64.75 <sup>(2)</sup>	58,766	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

**Explanation of Responses:** 

1. Gifted shares of National Beverage Corp. common stock to charitable organizations.

2. Price per share is the closing price of National Beverage Corp. common stock on the date of the gift. Both the price per share and number of shares have been retroactively adjusted to reflect the onefor-one stock split in the form of a stock dividend distributed to shareholders on February 16, 2021.

> /s/ Samuel C. Hathorn 06/11/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.